

THOMAS J. DONOVAN, JR.
ATTORNEY GENERAL

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JOSHUA R. DIAMOND

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<http://www.ago.vermont.gov>

SARAH E.B. LONDON
CHIEF ASST. ATTORNEY GENERAL

**STATE OF VERMONT
OFFICE OF THE ATTORNEY GENERAL**

109 STATE STREET

**MONTPELIER, VT
05609-1001**

December 18, 2019

Via ELECTRONIC MAIL (jmorales@osmotica.com)

Jessenia Morales
Osmotica Pharmaceutical US LLC
400 Crossing Blvd
Bridgewater, NJ 08807

Re: Public Records Act Request

Dear Ms. Morales,

This letter is in response to your December 16, 2019, request for records pursuant to the Vermont Public Records Act. You requested “all filings, if any, of Osmotica Pharmaceutical US LLC since inception;” “all filings, if any, of Vertical Pharmaceuticals LLC since inception;” and “all filings, if any, of Trigen Laboratories, LLC since inception.”

Responsive documents are attached. Because the responsive documents were identified in less than 30 minutes and no redaction or additional staff time was required to produce copies there is no charge for this response.

If you feel information or records have been withheld in error, you may appeal to the Deputy Attorney General Joshua Diamond.

Sincerely,

A handwritten signature in black ink, appearing to read "C. Curtis", written in a cursive style.

Christopher Curtis
Chief, Public Protection Division

Bailey, Jay

From: Caytey Steele <Caytey@steelecompliance.com>
Sent: Friday, December 29, 2017 1:11 PM
To: AGO - VT Pharma
Subject: 2017 Compliance Officer Form
Attachments: VER_2017 Compliance Officer Form_data.xml

The attached file contains data that was entered into a form. It is not the form itself.

The recipient of this data file should save it locally with a unique name. Adobe Acrobat Professional 7 or later can process this data by importing it back into the blank form or creating a spreadsheet from several data files. See Help in Adobe Acrobat Professional 7 or later for more details.

Bailey, Jay

From: Office of the Vermont Attorney General <ago.helpdesk@vermont.gov>
Sent: Monday, December 31, 2018 7:37 AM
To: Bailey, Jay
Cc: SAlegre@VerticalPharma.com
Subject: 2018 Compliance Officer Form

Name of Manufacturing Company	Vertical Pharmaceuticals LLC
Type of Manufacturing, (Check all that apply)	Pharmaceuticals
Contact Information	Vertical Pharmaceuticals LLC 400 Crossing Blvd Bridgewater, New Jersey 08807
Phone Number	(908) 809-1300
Website Address	http://www.VerticalPharma.com
Name of Individual Responsible for Compliance	Sergio Alegre Vice President, Global Compliance
Contact Information	Vertical Pharmaceuticals LLC 400 Crossing Blvd Bridgewater, New Jersey 08807
Phone Number	(908) 809-1351
E-Mail Address	SAlegre@VerticalPharma.com
Name of Individual Responsible for Data Collection	Jessenia Morales
Title of Individual Responsible for Compliance	Compliance Associate
Telephone	(908) 809-1378
E-Mail Address	JMorales@VerticalPharma.com
Report	No 2018 Expenditures/Samples to report
Do you intend to submit data that would have been (or was) submitted to the federal government under the Patient Protection and Affordable Care Act?	No

Certify by typing your name

Sergio Alegre

Submission Date

December 31, 2018

From: [Office of the Vermont Attorney General](#)
To: [Bailey, Jay](#)
Cc: salegre@verticalpharma.com
Subject: 2019 Compliance Officer Form
Date: Monday, December 2, 2019 9:23:42 AM

Name of Manufacturing Company	Vertical Pharmaceuticals LLC
Type of Manufacturing, (Check all that apply)	Pharmaceuticals
Contact Information	Vertical Pharmaceuticals LLC 400 Crossing Blvd Bridgewater, New Jersey 08807
Phone Number	908-809-1351
Website Address	https://www.verticalpharma.com/
Name of Individual Responsible for Compliance	Sergio Alegre, VP Global Compliance
Contact Information	Sergio Alegre, VP Global Compliance 400 Crossing Blvd Bridgewater, New Jersey 08807
Phone Number	908-809-1351
E-Mail Address	salegre@verticalpharma.com
Name of Individual Responsible for Data Collection	Jessenia Morales
Title of Individual Responsible for Compliance	Sr Compliance Associate
Telephone	908-809-1378
E-Mail Address	jmorales@verticalpharma.com
Report	No 2019 Expenditures/Samples to report
Do you intend to submit data that would have been (or was) submitted to the federal government under the Patient Protection and Affordable Care Act?	No
Username	verticalpharma2019
Re-type Username	verticalpharma2019
Password	Compliance @1
Re-type Password	Compliance@1

Certify by typing your name

Sergio Alegre

Submission Date

December 2, 2019

Bailey, Jay

From: Compliance <compliance@Verticalpharma.com>
Sent: Monday, April 1, 2019 11:57 AM
To: AGO - Prescribed Products; AGO - VT Pharma
Cc: Jessenia Morales
Subject: Expenditure Disclosure Report for 1/1/18 through 12/31/18
Attachments: 20190401105250521.pdf

Hello,

Attached please find Vertical Pharmaceuticals' Cover Letter asserting Vertical Pharmaceuticals, LLC did not have any 2018 activity to report for Manufacturers of Prescribed Products Samples Disclosure Report. Please feel free to reach out should you have any questions whatsoever.

Best,
Jessenia Morales

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Bailey, Jay

From: ago.webmaster@vermont.gov
Sent: Tuesday, December 20, 2016 5:38 PM
To: AGO - Webmaster
Subject: The Form " was submitted

The Form ' was submitted, this is the list of values it contained.

Name of Manufacturing Company

Vertical Pharmaceuticals, LLC

Type of Manufacturing

Pharmaceuticals

Company Telephone

(732) 287-0044

Web Address

www.verticalpharma.com

Company Address

400 Crossing Blvd

Company City

Bridgewater

Company State

NJ

Company Zip Code

08807

Name of Individual Responsible for Compliance

Sergio Alegre

Title of Individual Responsible for Compliance

Vice President, Global Compliance

Officer E-Mail Address

salegre@verticalpharma.com

Officer Telephone

(908) 809-1351

Officer Address

400 Crossing Blvd

Officer City

Bridgewater

Officer State

NJ

Officer Zip Code

08807

Name of Individual Responsible for Data Collection

Sergio Alegre

Title of Individual Responsible for Data Collection

Vice President, Global Compliance

Data Collection E-Mail Address

salegre@verticalpharma.com

Data Collection Telephone

(908) 809-1351

Report expenditures/samples

No 2016 Expenditures/Samples to report

Do you intend to submit data that would have been (or was) submitted to the federal government under the PPAC?

No

Username

Re-type Username

Password

Re-type Password

Certify by typing name

Sergio Alegre

Submission date

12/20/2016



Sergio Alegre
Vice President, Global Compliance
400 Crossing Blvd
Bridgewater, NJ 08807
Direct Dial No: 908-809-1351
E-Mail Address: salegre@verticalpharma.com

April 1, 2019

Via Email

Vermont Office of the Attorney General
Public Protection Division
109 State Street
Montpelier, VT 05609-1001

Re: Expenditure Disclosure Report for Manufacturers of Prescribed Products
Reporting Period: January 1, 2018 to December 31, 2018

To Whom It May Concern:

In accordance with 18 V.S.A. § 4632, Vertical Pharmaceuticals, LLC ("Vertical") has reviewed expenditures incurred during the Calendar Year of January 1, 2018 through December 31, 2018, and determined that Vertical did not provided samples or payments to Vermont practitioners that are subject to the law's reporting requirements, as we had expected and indicated in the Compliance Officer Form submitted on December 29, 2018.

Please note that the process of compiling and synthesizing such information is a time-consuming and complex undertaking. In the event that Vertical discovers expenditures incurred during the reporting period that should have been reported, Vertical will provide the Attorney General's Office with an Expenditure Disclosure Report.

Should you have any questions, please contact me by telephone at 908-809-1351 or by e-mail at salegre@verticalpharma.com.

Very truly yours,

A handwritten signature in black ink, appearing to be "Sergio Alegre", written over a horizontal line.

Sergio Alegre

Bailey, Jay

From: Compliance <compliance@Verticalpharma.com>
Sent: Monday, April 1, 2019 11:57 AM
To: AGO - Prescribed Products; AGO - VT Pharma
Cc: Jessenia Morales
Subject: Expenditure Disclosure Report for 1/1/18 through 12/31/18
Attachments: 20190401105250521.pdf

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Best,
Jessenia Morales

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